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Receipt



PATENTS  
CF-030

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.  
Application No.: 10/015,738 Confirmation No.: 3474  
Filed : December 12, 2001  
For : METHODS AND SYSTEMS FOR TRADING OF  
FUTURES CONTRACTS FOR INTANGIBLE ASSETS  
Group Art Unit : 2161  
Examiner : To be Assigned

New York, New York 10020  
February 4, 2002

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APR 19 2002

Technology Center 2100

Hon. Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

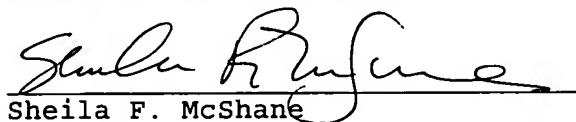
Sir:

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Accordingly, applicants respectfully request that the Patent and Trademark Office issue a corrected Filing Receipt in which the error is corrected. The error in the Filing Receipt is believed to be the responsibility of the Patent and Trademark Office. However, if for any reason the

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No. 06-1075. A duplicate copy of this Request is enclosed  
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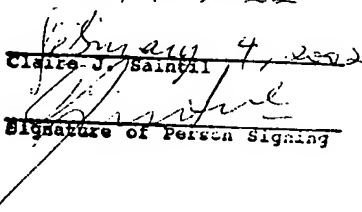
Respectfully submitted,



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February 4, 2002  
Claire J. Sainvil



Signature of Person Signing



## UNITED STATES PATENT AND TRADEMARK OFFICE

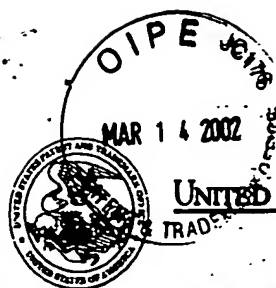
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Bib Data Sheet

CONFIRMATION NO. 3474

<b>SERIAL NUMBER</b> 10/015,738	<b>FILING DATE</b> 12/12/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2161	<b>ATTORNEY DOCKET NO.</b> CF-030	
<b>APPLICANTS</b> Howard W. Lutnick, New York, NY; Glenn D. Kirwin, Scarsdale, NY; Andrew C. Gilbert, Califon, NJ;					
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/290,712 05/14/2001					
<b>** FOREIGN APPLICATIONS *****</b>					
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 01/22/2002</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 8	<b>TOTAL CLAIMS</b> 23	<b>INDEPENDENT CLAIMS</b> 4.
<b>ADDRESS</b> 1473					
<b>TITLE</b> Methods and systems for trading futures contracts for intangible assets					
<b>FILING FEE RECEIVED</b> 878	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/015,738	12/12/2001	2161	878	CF-030	8	23	4

CONFIRMATION NO. 3474

 1473  
 FISH & NEAVE  
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 NEW YORK, NY 10020-1105

## FILING RECEIPT



\*OC00000007342503\*

Date Mailed: 01/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

 Howard W. Lutnick, New York, NY;  
 Glenn D. Kirwin, Scarsdale, NY;  
 Andrew C. Gilbert, Califon, NJ;

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## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/290,712 05/14/2001

## Foreign Applications

If Required, Foreign Filing License Granted 01/22/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: ~~No~~ Yes

## Title

Methods and systems for trading futures contracts for intangible assets

## Preliminary Class

705

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